



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this communication is being deposited with the United States Postal Service postage prepaid as First Class Mail, in an envelope addressed to: Mail Stop Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313.

October 8, 2004  
Date

Jenny Larsen  
Jenny Larsen

H0004353-1246

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ROBERT J. SACCOMANNO

Serial No.: 10/752,397

Art Unit: Unknown

Filed: January 6, 2004

Examiner: Not Yet Assigned

For: Coupling a Tapered Optical Element  
to an Optical Fiber

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant wishes to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith. Further, the undersigned hereby certifies that

1. each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
2. the undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Serial No.: 10/752,397  
Art Unit: 2872

Attorney's Docket No.: H0004353-1246  
Page 2

Accordingly, Applicant does not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 01-1125 and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

Honeywell International Inc.  
Law Dept. AB2, P.O. Box 2245  
Morristown, NJ 07962-9806  
Tel: (201) 393-2065

Date: 5 October 2004

Respectfully submitted,

ROBERT J. SACCOMANNO

By:

  
James W. Falk  
Registration No. 16,154



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Sheet 1		of	1	Application Number	10/752,397
				Filing Date	January 6, 2004
				First Named Inventor	ROBERT J. SACCOMANNO
				Art Unit	Unknown
				Examiner Name	
				Attorney Docket Number	H0004353-1246

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number- Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,697,861	10-06-1987	Mitchell	
		US-6,488,317 B1	12-03-2002	Daoud	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		WO 82/02604 A	08-05-1982	Hughes Aircraft	<input checked="" type="checkbox"/>
		WO 02/101289 A2	12-19-2002	Honeywell Int. Inc.	<input checked="" type="checkbox"/>
		DE 299 13240 U1	03-02-2000	Vogel Meinolph	<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		PCT International Search Report; PCT/US2004/000510; 06-02-2004			<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>

Examiner Signature	Date Considered
--------------------	-----------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.